



COLORADO

Performance Based Contracting Orientation Workshop

August 17, 2021



COLORADO

Department of Public Safety



What is PBC?

PBC is a contracting method that bases payments, extension, or renewals on contractor performance

The General Assembly first introduced the general concept of PBC in community correction in 2013.

OCC has been working towards the goal of implementing PBC since 2015 rooted in performance measures in both the direct and indirect control of the provider.

Governor's Community Corrections Advisory Council

Performance Based Contracting for Colorado Community Corrections

General Attributes of a PBC Plan

Plan Submitted August 2015

PERFORMANCE BASED CONTRACTING (PBC) FOR COLORADO COMMUNITY CORRECTIONS

GENERAL ATTRIBUTES OF A PBC PLAN

GOVERNOR'S COMMUNITY CORRECTIONS ADVISORY COUNCIL



PRINCIPLE 1: The Performance Based Contracting (PBC) model should have primary basis in the desired future state of Colorado Community Corrections rather than its current state.

(The group has been thinking futuristically and with a 10-15 year scope of overall system advancement)

PRINCIPLE 2: The current structure of Colorado community corrections and its historical roots have value. However, current practices and traditions should not be a barrier to achieving the future desired state of the system.

PRINCIPLE 3: The PBC model should be used primarily as a *tool* for system advancement and recognition of superior performance. While the PBC model should contain provisions for addressing low performing providers, and possibly contractual sanctions, its primary function is not punitive.

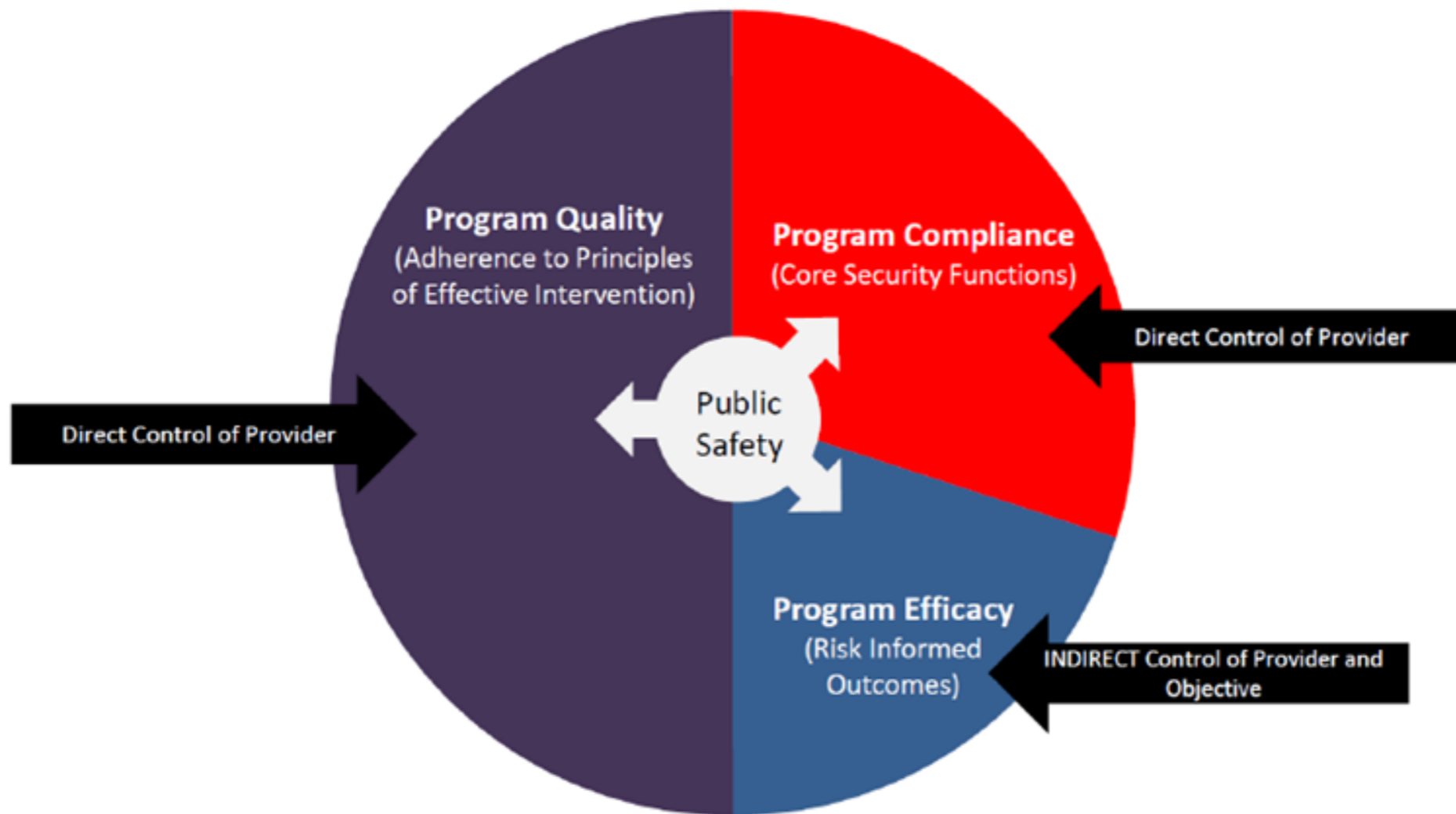
PRINCIPLE 4: All measures used in the PBC model should center on compliance with core security *Standards*, risk-informed outcome measures, and adherence to the *Principles of Effective Intervention* (National Institute of Corrections). Together these three (3) areas comprise the general definition of *Public Safety* for the purposes of PBC design and implementation.

PRINCIPLE 5: The PBC process and its measures should be fair, objective, and transparent to all boards, providers, and general stakeholders of Colorado Community Corrections.

PRINCIPLE 6: The PBC model should emphasize a state and local partnership.

PRINCIPLE 7: Overall system advancement and performance improvement is a long-term endeavor. The baseline results should be viewed as a starting point from which the Colorado community corrections system can grow over a period of time. Stakeholders should interpret the baseline results accordingly.

General Definition of Overall Performance



What Else is in the Plan?

Ideas for:

- Performance Levels
- Incentive Payments and Funding Line
- Suggested Objectives

What's Happened Since the Plan Was Submitted?



Since then:

- 2017 standards revisions (2010=6% evidence based, 2017=45% evidence based & research informed)
- Creation of PACE, which measures use of evidence-based practices aka behavior change standards and Core Security practices aka client supervision practices
- Conducted baseline measurements of both (completed all but 3 PACE and all but 7 Core < Mar 2020)
- Contracted with Urban Institute in FY20 to make recommendations on transitioning to PBC and to make recommendations on risk-adjusted outcome measures (indirect provider control).

Completing the
Request for Information

With Stakeholder Engagement



Request for Information

As part of its FY 2022-23 budget request, the Department is requested to submit a proposal for the implementation of performance based contracting. This proposal should include payment models, outcomes to evaluate the performance of community providers and local community corrections boards, baseline targets for the Program Assessment for Correctional Excellence (PACE) and core security audits, the frequency of PACE and core security audits, and a warning system for underperforming providers. The Department is requested to submit this proposal no later than January 3, 2022.

More Simply:

- Payment Models
- Outcomes to evaluate the performance of providers and boards
- Baseline targets for PACE and CORE
- Frequency of PACE and CORE
- Warning System for Underperforming Providers

Our Shared Vision

What is our objective?

PBC is an innovative, transparent & fiscally responsible strategy ensuring local, safe & accountable providers deliver services & support to community corrections clients.

Why?

The criminal justice system and communities benefit from researched, rehabilitative sentencing options. Local boards and providers serve the diverse clientele with additional OCC support, training and technical assistance resulting in lower recidivism rates.

How will we get there?

This program offers the opportunity to listen and collaborate with community correction stakeholders, apply established research and provide clear, concise guidance to increase the quality and quantity of help & supportive programs to our communities.

- Contracted with government project management and stakeholder engagement expert.
- Brian Pool has years of experience working with government agencies.



Government Performance
Solutions, Inc. (GPS)



6 Workshops

1. August 25, Risk Informed Outcomes
2. September 8, Core Security Measurement
3. September 22, PACE Measurement
4. October 6, Metric Details and Cut Off Levels
5. October 20, Payment Models
6. November 3, Timeline

Questions & Answers